Investor Complaints

Format for Investor Complaints Data to be displayed by Stock Brokers on their respective websites

Data for every month ending

Sr. No.	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved*	Pending at the end of the month**		Average Resolution time^ (in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7		8
1	Directly from Investors	0	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0	0
3	Stock Exchanges	0	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0	0
5	Grand Total	0	0	0	0	0	0	0

Trend of monthly disposal of complaints

S.No.	Month	Carried forward from previous month	Received	Resolved*	Pending**
1	January – 2024	0	0	0	0
2	February - 2024	0	0	0	0

^{*}Should include complaints of previous months resolved in the current month, if any.

^{**}Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

Trend of annual disposal of complaints

S.No.	Year	Carried forward	Received during	Resolved during	Pending at the
		from previous	the year	the year	end of the year
		year			
1.	2018-19	0	0	0	0
2.	2019-20	0	0	0	0
3.	2020-21	0	0	0	0
4.	2021-22	0	2	2	0
5.	2022-23	0	0	0	0
6.	2023-24	0	6	6	0
	Grand Total	0	8	8	0